

Form PTO-1449 U.S. Department of Commerce  
(REV. 2-82) Patent and Trademark Office

Atty. Docket No.  
P. Kroon 7-9

Serial No.

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**  
(Use several sheets if necessary)

Applicant: **Peter Kroon  
Deepen Sinha**

Filing Date

Group

U.S. PTO  
10/039456  
11/07/01

**U.S. PATENT DOCUMENTS**

*Exam. Init.	Document No.	Date	Name	Class	Subclass	Filing Date if Appropriate

**FOREIGN PATENT DOCUMENT**

Document No.	Date	Country	Class	SubClass	Translation Yes No

**OTHER DOCUMENTS (including Author, Title Date, Pertinent Pages, Etc.)**

	V. Vaishampayan, "Design of Multiple Description Scalar Quantizers," IEEE Transactions on Information Theory, Vol. 39, No. 3, May 1993, pp. 821-834.
	J. Conway et al., "Voronoi Regions of Lattices, Second Moments of Polytopes, and Quantization," IEEE Transactions on Information Theory, Vol. IT-28, No. 2, March 1982, pp. 211-226.
	M. Eyuboglu et al., "Lattice and Trellis Quantization with Lattice- and Trellis-Bounded Codebooks -- High-Rate Theory for Memoryless Sources," IEEE Transactions on Information Theory, Vol. 39, No. 1, January 1993, pp. 46-59.

Examiner

Date Considered

\* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**FORM PTO-1449 (MODIFIED)****LIST OF PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT**

Applicant(s): P. K. et al.  
Case: 7-9  
Serial No.: 09/280,785  
Filing Date: March 29, 1999  
Group: 2741

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**U.S. PATENT DOCUMENTS**

EXAMINER				FILING DATE
INITIAL	DOCUMENT NO.	DATE	NAME	IF APPROPRIATE

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**FOREIGN PATENT DOCUMENTS**

EXAMINER				TRANSLATION	
INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	YES NO

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**OTHER DOCUMENTS**

EXAMINER		
INITIAL	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

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- 1. L.M. Christianson et al., "A Hierarchical Audio Encoder for Network Traffic Adaptation," Proceedings of the SPIE-The International Society for Optical Engineering, pp. 124-131, 1999.
- 2. Z. Lu et al., "An Efficient, Low-Complexity Audio Coder Delivering Multiple Levels of Quality for Interactive Applications," IEEE Second Workshop on Multimedia Signal Processing, pp. 529-534, December 1998.
- 3. M. Faloutsos et al., "Multicast Routing with Heterogeneous Quality," Fourth IEEE Workshop on High-Performance Communication Systems, pp. 125-132, June 1997.

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Examiner

Date Considered

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.